



## REPORT

# IALA Workshop

## Employing the e-Navigation Common Shore-Based System Architecture (CSSA)

**26 – 29 August 2014**



**WSV.de**

Wasser- und  
Schiffahrtsverwaltung  
des Bundes

10 rue Gaudines  
78100 Saint Germain en Laye, France  
Telephone: +33 1 34 51 70 01 Fax: +33 1 34 51 82 05  
e-mail: [contact@iala-aism.org](mailto:contact@iala-aism.org) Internet: [www.iala-aism.org](http://www.iala-aism.org)



## **Report of the IALA Workshop on Employing the e-Navigation Common Shore-Based System Architecture (CSSA)**

### **Executive Summary**

A workshop on the subject of employing the e-Navigation Common Shore-Based System Architecture (CSSA) was hosted by the German Federal Waterways and Shipping Administration in Hamburg, Germany between 26 and 29 August 2014.

The workshop was attended by 47 delegates, representing 16 countries (see ANNEX D).

A series of 11 presentations were given under three broad headings:

1. The CSSA in its context;
2. The generic CSSA service model;
3. The CSSA service model in action: the German example (including lessons learned).

The workshop then broke into two Working Groups to discuss and produce a draft Recommendation on Generic Service Engineering Model for submission to the ENAV Committee at its 15<sup>th</sup> Session.

The social programme consisted of a Welcome Reception, and a Workshop Dinner and a Technical Tour comprised of a tour to Kiel Canal Brunsbüttel site, to provide insights into a practical working application of the CSSA.

The workshop produced

- A draft Recommendation on the Generic Service Engineering Model [for e-Navigation Shore Infrastructure] in the form of a suite of documents comprising a recommendation with annex and 14 appendices.
- a number of important conclusions for IALA to consider as part of implementing the IALA CSSA which included:
  - The holistic overarching architecture of e-Navigation was reaffirmed in concepts such as “the three sides of the coin” and the “Seven Pillars model”.
  - It was recognised that the Common Shore-based System Architecture (CSSA) is an instrument contributing to the e-Navigation pillar No. 6, “shore-based infrastructure (‘fit for e-Navigation’)”.
  - It was recognised that mutual benefits could be gained for IALA membership if commonalities of technical systems and features are identified and described in generic approved IALA documents and those under development. For example the draft CSSA System layout, CSSA service model as reflected in input document CSSA1-3.3 / e-Nav14-17.2.5.1.
  - The service-oriented CSSA under development by IALA is considered to be flexible and open enough to support all areas of application within IALA’s remit.
  - The technical services defined in the CSSA System Layout contribute to a definition of the scope of technical services to be eventually included in the development of the Maritime Service Portfolios (MSPs).
  - There is a need to employ a life cycle management and system engineering model for developing, implementing and maintaining a common shore based system but it was recognized that different parts of the system engineering model will be executed by different

stakeholders in the development process, i.e. by IALA, IALA national members, other competent authorities, and manufacturers.

- The need was recognised for a suite of documents bundled together in one draft new IALA Recommendation on the generic CSSA service model as main body and several appendices to cover all relevant topics of the generic service model.
- The further development of IALA Rec V128 could be assisted by employing the CSSA System Layout and the approved / draft CSSA service descriptions for the specific technologies under consideration in V128, for example Radar Service, radio communication services, Direction Finding Service, etc.
- The CSSA under development by IALA has the potential to organise the provision of radio communication services for rescue, VTS communication, public correspondence, etc.
- There is a need to include the definition of approved CSSA terms and acronyms in the IALA dictionary.
- An additional workshop may be required to further develop CSSA and its documentation.

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## IALA WORKSHOP ON EMPLOYING THE E-NAVIGATION COMMON SHORE-BASED SYSTEM ARCHITECTURE (CSSA)

### 1. Introduction

A workshop on the subject of employing the e-Navigation Common Shore-Based System Architecture (CSSA), hosted by the German Federal Waterways and Shipping Agency was held at BSH Hamburg Headquarters, "Landungsbrücken", Bernhard-Nocht-Straße 78, 20359 Hamburg, Germany between 26 and 29 August 2014. The workshop was attended by 47 delegates, representing 16 countries.



A list of participants is at ANNEX D.

### 2. Overall Programme

The overall programme is shown in the following table.

IALA Workshop on employing the e-Navigation Common Shore-Based System Architecture Overall Programme			
Tuesday 26th August	Wednesday 27th August	Thursday 28th August	Friday 29th August
Registration	Technical session 4 The CSSA service model in action: the German example (including lessons learned)	Technical Study Tour to Kiel Canal Brunsbüttel	Technical session 9 Working Groups
	Break		Break
	Technical session 5 Working Groups		Session 10 Conclusions & Closing of Workshop
	Lunch		
Session 1 Opening of the Workshop			
Technical Session 2 The CSSA in its context	Technical session 6 Working Groups		
Break	Break	Break	
Technical Session 3 The generic CSSA service model	Technical Session 7 Working Groups	Technical session 8 Working Groups	
Welcome reception	Workshop dinner	Free	

### 3. Conclusions

Following a discussion of the conclusions, the workshop agreed to the following fifteen conclusions:

1. The holistic overarching architecture of e-Navigation was reaffirmed in concepts such as “the three sides of the coin” and the “Seven Pillars model”.
2. It was recognised that the Common Shore-based System Architecture (CSSA) is an instrument contributing to the e-Navigation pillar No. 6, “shore-based infrastructure (‘fit for e-Navigation’)”.
3. It was recognized that mutual benefits could be gained for IALA membership if commonalities of technical systems and features are identified and described in generic approved IALA documents and those under development. For example the draft CSSA System layout, CSSA service model as reflected in input document CSSA1-3.3 / e-Nav14-17.2.5.1.
4. The service-oriented CSSA under development by IALA is considered to be flexible and open enough to support all areas of application within IALA’s remit.
5. The technical services defined in the CSSA System Layout contribute to a definition of the scope of technical services to be eventually included in the development of the Maritime Service Portfolios (MSPs).
6. There is a need to employ a life cycle management and system engineering model for developing, implementing and maintaining a common shore based system but it was recognized that different parts of the system engineering model will be executed by different stakeholders in the development process, i.e. by IALA, IALA national members, other competent authorities, and manufacturers.
7. The need was recognised for a suite of documents bundled together in one draft new IALA Recommendation on the generic CSSA service model as main body and several appendices to cover all relevant topics of the generic service model.
8. The further development of IALA Rec V128 could be assisted by employing the CSSA System Layout and the approved / draft CSSA service descriptions for the specific technologies under consideration in V128, for example Radar Service, radio communication services, Direction Finding Service, etc.
9. The CSSA under development by IALA has the potential to organise the provision of radio communication services for rescue, VTS communication, public correspondence, etc.
10. The importance of boundaries for the different entities of the CSSA was recognised, for example system boundary, service boundary, functional layer boundary, and component boundary.
11. Each CSSA technical service has its own Service Management which is related to the Service Level Agreement for that technical service.
12. From a CSSA technical management point of view, the required connectivity between the individual technical services is expected to be implemented at Set Up and Run Time Configuration.
13. There is a need to adopt and/or develop and harmonize interfacing protocols and standards throughout the CSSA. This should be aligned with international developments in the field of harmonized open protocols.
14. There is a need to include the definition of approved CSSA terms and acronyms in the IALA dictionary.
15. An additional workshop may be required to further develop CSSA and its documentation.

## Annexes to the Report

### ANNEX A OPENING OF THE WORKSHOP AND TECHNICAL SESSIONS

#### 4. Session 1 - Opening

Chaired by Jan-Hendrik Oltmann, Federal Waterways and Shipping Agency, Germany and Chairman of WG5 of the IALA ENAV Committee.

All presentations form part of the output of the workshop.

##### 4.1 Address by Gary Prosser, Secretary General of IALA

In his opening remarks, Gary Prosser welcomed all the delegates, observing that the venue of the ship mega-centre of Hamburg was particularly appropriate for this workshop. He noted that the workshop was oversubscribed, indicating the importance of the subject and the timeliness of the workshop. He thanked the WSV for generously hosting the workshop and thanked the steering group for their fantastic work and attention to detail. He noted the excellent line-up of speakers and participants. Observing that this is the first IALA major event since the General Assembly approved the IALA strategy and vision for the next work term in May 2014, he acknowledged the relevance of the important topic of this workshop. The work is very much in line with the discussion at IMO NCSR1 in June 2013 and is an enabler to the development of e-Navigation which is a game changer in marine navigation. Thanking all in advance for the work of the week, he noted that IALA is all the participants in the work as well as the secretariat.



##### 4.2 Administrative and safety information

Administrative and safety information was provided by Jan-Hendrik Oltmann, German Federal Waterways Administration, by means of a presentation.

##### 4.3 Workshop's aim & objectives

A presentation was made by Jan-Hendrik Oltmann of the IALA ENAV Committee. The objectives of the workshop were outlined as follows:

1. Provide an opportunity for knowledge sharing on shore-based e-Navigation architecture,
2. Provide an opportunity for participants to understand the generic service-oriented concept of the CSSA,
3. Enable participants to apply the CSSA to their application fields (such as VTS, GMDSS, AtoN, terrestrial components of the WWRNS),
4. Draft an IALA Recommendation on the IALA CSSA Generic Service Model,
5. Facilitate cooperation between stakeholders involved in the provision of VTS/AtoN services in the context of e-Navigation..

##### 4.4 Introduction to IMO e-Navigation and modernisation of GMDSS

This topic was presented by Jean-Charles Cornillou, Cerema / DtecEMF / DT, France.

##### Presentation abstract

Since 2006, IMO has developed a strategic implementation plan (SIP) for e-Navigation. It started with an input from Brian Wadsworth of the UK Department of Transport on 21st February 2005. The major argument was the historic opportunity for technology to meet the safety of navigation requirements of IMO for the maritime navigation. Gap analysis, global and basic objectives and





advantages have been discussed and drafted. In 2011, the general e-Navigation architecture was adopted. In 2013, a formal safety assessment focused on five e-Navigation solutions as a first step. In July 2014, the IMO NCSR 1 approved the SIP for submission to the MSC. The next MSC meeting will be in November 2014.

In parallel, IMO decided in 2009 to start the review and modernization of GMDSS.

There are many organizations dealing with e-Navigation: IALA, IHO, ITU...while the coordination is under IMO. Nevertheless, it should be kept in mind that e-Navigation and GMDSS need radio communications (whether terrestrial or satellite) and IMO has to clarify its agenda to the ITU World Radio Conference in due time, for ITU governs the regulation of radio frequencies for all kinds of activities. If there is no requirement from IMO, there will be no provision for IMO requirements at ITU. In that respect the task of the IMO/ITU experts group is primary for both e-Navigation and GMDSS.

If e-Navigation is based on human centre designed, e-Navigation is nothing without radio communications. Basic fundamentals should be recalled: GMDSS is described in the Radio Regulations (RR) has the maritime radio communication system not only for distress, but for urgency, safety and routine messages as well and its functionalities are detailed in IMO SOLAS chapter IV, the chapter dealing with radio communication.

The high level review of GMDSS spent a lot of time trying to change the present functional requirements. It was concluded that the functional requirements may need to be clarified, but are still globally relevant. Some systems have already been excluded from a global maritime system, such as internet mobile service, mobile telephone service or BWA, for different technical reasons, mainly lack of robustness and frequencies used are outside the maritime spectrum as defined internationally at ITU. There is no agenda point from IMO relating to an extension of frequencies in maritime activities for the next WRC. On the other hand there are new systems within the maritime frequency spectrum that need to be considered. NAVDAT, a MF broadcasting system for digital maritime security or safety information has been already adopted at ITU. There are others systems under consideration such as VDES, Digital HF.

In the detailed review of GMDSS, there should be a focus on provision of radio communication services (SOLAS IV R5). This side of GMDSS needs to be secured, not only for securing a distress call, but also as it represents the basis of a common shore based system implementation for radio communications of any kind (distress, urgency, safety & routine). Ultimately, consideration should not be about e-Navigation or GMDSS, but simply about radio communications, seeking a way to secure them for the sake of e-Navigation and GMDSS..

**The key points of the presentation were:**

- 1 Summary of e-Navigation development at IMO.
- 2 Proposition of clarification of present GMDSS functional requirements.
- 3 High level review of GMDSS.
- 4 Detailed review of GMDSS.
- 5 Common points between e-Navigation and modernization of GMDSS.

**Discussion**

It was noted that the author had placed GMDSS in pillar 4 of the IMO Strategic Implementation Plan (SIP) 7 pillars rather than in pillar 6. The author responded that 9 functions have been identified for the ship side while few functions have emerged for the shore side. The IMO SAR Joint Working Group at NCSR1 tried to audit the IMO scope of this. Operations are now looking at the shore side. There is a need to secure ship to shore communications for shipping. Pillar 6 (CSSA) is not relevant without pillar 4 (Communications).

Responding to concerns that the AtoN communications system should not be overloaded by other services, the author stated that GMDSS is not solely for search and rescue (SAR) but also includes urgency communications. GMDSS is a component of CSSA and is being modernised.

Responding to a statement that IMO NCSR1 had decided the GMDSS modernisation should be treated as a separate project, while there is a need for coordination of e-Navigation and GMDSS development, it was noted that the CSSA should state user requirements and GMDSS is just another customer in CSSA.

#### **4.5 Introduction to input documents (pre-reading) and present status of CSSA**

This topic was presented by Jan-Hendrik Oltmann, Federal Waterways and Shipping Agency, Germany.

The Workshop input documents ANNEX H were described. The presenter encourage participants to take account of the supplementary documents when reviewing the main input documents.



### **5. Session 2 – The CSSA in its context**

Chaired by Jean-Charles Cornillou, Cerema / DtecEMF / DT.

#### **5.1 Presentation: Harmonization of shore-based User Requirements**

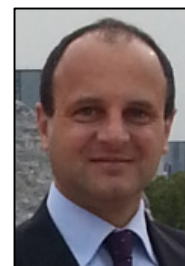
The presentation was made by Michele Fiorini, Selex ES, Italy.

##### **Presentation abstract**

The International Maritime Organisation (IMO) required a user-needs driven design for the e-Navigation architecture. As a result, a transition process from user needs to structured and traceable system requirements is needed and could be realised by means of systems engineering principles.

Both the shore-based systems harmonised for e-Navigation and the ship technology environment harmonised for e-Navigation need to be capable of processing and using the Common Maritime Data Structure (CMDs) governed by IMO. CMDs represents the entities and relationships among the entities that exist in their domains. Also the links between ship and shore need to be encoding compliant with the CMDs. These relationships can also be represented in terms of services and this is where the IMO introduced the concept of Maritime Service Portfolio(s).

The presentation illustrated certain elements of e-Navigation and how they relate, using systems engineering methodology in order to harmonised user requirements from more precise analysis of the e-Navigation architecture. This can be achieved by means of the IALA e-Navigation Stack that consists of well defined layers arranged in hierarchically top-down fashion starting from the user requirements down to the shore-based system architecture and specific technical e-Navigation services.



##### **The key points of the presentation were:**

- 1 The use of systems engineering process to derive harmonised user requirements.
- 2 The IALA e-Navigation Stack: a more precise analysis of the e-Navigation architecture.
- 3 The relationship of the IALA e-Navigation Stack with the IHO Registry.

## 5.2 Presentation: Shore-based (Unified) Operational Presentation Surface

The presentation was made by Tom Dehmelt, University of Wismar, Dept. of Maritime Studies Warnemünde, Germany.

### Presentation abstract

The presentation dealt with the development of a coastal-wide, multi-node, multi-service CSSA for the German Waterways and Shipping Administration. A part of this system is a unified human-machine interface (HMI) for VTS operators. The main focus was on the process of the definition of nautical user requirements for this HMI, and how these requirements have been brought to life (i.e. into operation). The presentation allowed a short glance at the result (i.e. the new HMI) and subsumes the “lessons learned” during the whole process. It was noted that one of the main issues arising was system stability.



### The key points of the presentation were:

1. VTS human-machine interface.
2. Definition of user requirements.
3. Acceptance tests.

## 5.3 Presentation: Relationship of CSSA with other “e-Navigation pillars” (MSPs, CMDs, PNT, Communications etc) and S-100 based “products”.

The presentation was made by Yung-Ho Yu, Korea Maritime and Ocean University, Korea.

### Presentation abstract

The e-Navigation Strategy Implementation Plan (SIP) is being developed from the analysis of user requirements. IMO has derived 16 Maritime Service Portfolios (MSPs) through prioritization and Formal Safety Assessment. The method of how to evaluate, manage, implement, provide and display the MSPs is considered. Because MSPs are also a kind of IT service, how to improve the service quality is surveyed. To implement e-Navigation efficiently, roles of the CSSA are emphasized. To harmonize with the S-100 concept, where overarching S-100 based products can be provided, e-navigation is considered. To help understanding of the relationship between the CSSA and S-100 based products, MSP number 8 Vessel shore reporting of IMO is implemented with the S-100 concept.



### The key points of the presentation were:

1. Evaluation model of MSP.
2. Relationship of CSSA and S-100 based Products.
3. ITIL V3 for IT service improvement.
4. HCD design and U-TEA (Usability Test, evaluation and assessment).

## 5.4 Presentation: The CSSA System Layout – Introduction to the IALA Recommendation

The topic was presented by Jan-Hendrik Oltmann, Federal Waterways and Shipping Administration, Germany.

### Presentation abstract

The IALA e-NAV Committee, during its 14<sup>th</sup> session, September 2013, finalised a draft new IALA Recommendation on the Common Shore Based System Architecture (CSSA) System Layout. The intention of this recommendation is, amongst others, to provide a common generic reference framework to, in particular, national IALA members when they set up appropriate shore infrastructure for Aids-to-Navigation, VTS and other services offered. Also, it was the intention to develop this common



generic reference framework in the spirit of the IMO-developed e-navigation strategy. The main generic building block of the CSSA is an entity which is called “technical service”, and the CSSA essentially is a service-oriented architecture. Thus, the draft new IALA Recommendation also provides the framework for the generic service model for which the development of a generic service model has started some time ago in the IALA e-NAV Committee. This is the focus of the present Workshop. The presentation introduced the draft new IALA Recommendation on the CSSA System Layout in general and raised specific points of interest for the Workshop, such as the relationship to the emerging concept of the Maritime Service Portfolios (MSPs).

## 5.5 Discussion

Responding to concerns that the input documents contain definitions that may not be recognised by the international community and the suggestion that IALA should address this issue, the author noted that there are 87 IALA national members while there are 176 IMO states and consequently there is a danger that IALA definitions will not be sufficiently global for a global e-Navigation service and that IMO and IALA definitions must not be separate.

Responding to a question about the relationship between the e-Navigation Stack and Product Specifications, it was stated that Product Specifications is the package meeting needs of portrayal, data modelling, and architecture elements of the stack while the stack provides the building blocks.

Responding to statements that people generally do not like to change, it was noted that reluctance to change can provide a first filter to remove existing bad practices and discussion is the key to encouraging participation. Some practices which are acceptable in legacy systems may not be acceptable in new systems because of the increased scope and integration of new systems.

## 6. Session 3 – The generic CSSA Service Model

Chaired by Michele Fiorini, Selex ES, Italy.

### 6.1 Presentation: Introduction to the generic CSSA Service Model with special reference to IALA AIS Service (A-124)

The topic was presented by Jan-Hendrik Oltmann, Federal Waterways and Shipping Administration, Germany.

#### Presentation abstract

The IALA e-NAV Committee, during its previous sessions, has developed both a structure as well as substantial materials for a draft new IALA recommendation on the generic CSSA Service Model. This work was carried out in close collaboration with the development of the IALA Recommendation on the shore-based AIS Service (A-124), which serves as a technology-specific example. Due to the complexity of a shore-based service, when considering not only structural but also life cycle management and configuration issues, the materials relevant to describe a shore-based service were distributed over a standardised set of appendices. Each appendix addresses specifically one or a number of related topics. The presentation introduced the work of the e-NAV Navigation Committee of the past years in this regards, in particular the “suite” of appendices. Reference is made to the IALA Recommendation A-124, where appropriate. The presentation also introduces the different degrees of maturity of the materials developed by the IALA e-Navigation Committee over the years and thus sets the scene for the working groups in this regards.



#### The key points of the presentation were:

1. IALA e-Navigation Stack.
2. Common Shore-based System Architecture.
3. Service-oriented Architecture.
4. Generic technical service model.
5. Maritime Service Portfolios (MSPs).

## **6.2 Presentation: From model to procurement: necessary steps and relevant precautions**

The topic was authored by Yves Desnoes, Institut Français de Navigation (IFN), France and presented by Jean-Charles Cornillou, CEREMA, France.

### **Presentation abstract**

e-Navigation is mainly about integration and interoperability, which increases system complexity. e-Navigation will be markedly more complex than ECDIS. A necessary leap forward in quality assurance (implying human centred design) is necessary to mitigate complexity. As there is no easily applicable method to deal with interoperability, we have to innovate in this complex area, so that we should start with concepts and designs as simple as possible. For the sake of simplicity and of state-of-the-art configuration management, precise targets for successive phases of e-Navigation are needed, as well as proven methods for individual projects. A first target could be what can be achieved with the GMDSS (hopefully modernized ) as well as the AIS and the VDES in their currently defined states, with simple improvements for which solutions are available, like NAVDAT and also some reporting from ship to shore. Among the key success factors will be the building of multidisciplinary teams, including seafarers, as well as cooperation with ship-side projects and with other shore systems. Innovation cannot be fully and precisely defined from the beginning, so that flexibility in contracts and budgets will be needed.



### **The key points of the presentation were:**

6. e-Navigation systems will be more complex than current systems.
7. A leap forward in quality assurance is necessary to mitigate this increase in complexity.
8. We have to define a precise target for the first phase of e-Navigation.
9. This target should be made up of individually simple improvements and add-ons.
10. Team building and cooperation will be key factors for success.

## **6.3 Presentation: Implementation Options: What UPnP (Universal Plug and Play) can contribute**

The topic was presented by Fred Pot, Marine Management Consulting, USA.

### **Presentation abstract**

Inter-vendor operability is a requirement in the proposed CSSA. A standard for seamless, secure, robust Machine-to-Machine (M2M) communications is required to allow tight integration of devices, systems and services from a variety of vendors. Such a standard will ensure modularity and flexibility, avoid vendor lock-in, allow mixing and matching of best-in-class solutions and make CSSA future proof.



The Universal Plug-n-Play (UPnP) Forum offers a set of widely adopted, publicly available protocols that provide practical, zero configuration implementations for inter-vendor operability, security, flow control, remote maintenance and a number of other features. UPnP protocols are based on internet technology and are independent of the operating system and the programming language. UPnP protocols can be deployed on a Local Area Network (LAN) and on the world-wide web.

The presentation detailed how UPnP works, what enhancements vendors would need to make to products to make them UPnP compatible and what is involved in obtaining UPnP certification for products.

**The key points of the presentation were:**

- 1 Machine-to-Machine (M2M) Communications in the CSSA require a standard.
- 2 Universal Plug-n-Play (UPnP) protocols are readily available standard implementations for seamless, zero configuration M2M communications that fulfil requirements for security, scalability, modularity, flexibility, integrity and maintainability.
- 3 Adapting devices, systems and services to be able to use standard UPnP protocols is relatively simple because they are based on internet technology and are independent of the operating system and the programming language.
- 4 Self-certification of devices, systems and services to comply with UPnP standards is inexpensive and doesn't involve a long process.

## **7. Session 4 – CSSA Service Model in Action; the German example (including lessons learned)**

This session was chaired by Jan-Hendrik Oltmann, Federal Waterways and Shipping Administration, Germany.

### **7.1 Presentation: Deploying a coastal-wide, multi-node, multi-service CSSA.**

The topic was presented by Christian Herrlich, Federal Waterways and Shipping Administration, Germany.



**Presentation abstract**

The Presentation showed the German example of how to create a maritime traffic technology system. Both targets and state of implementation were shown. The lessons learned considered technical and organisational aspects to deliver German experiences for benefits and challenges en-route to implementation.

**The key points of the presentation were:**

- 1 The German example of how to create a maritime traffic technology system.
- 2 State of project implementation.
- 3 Interaction during implementation process.
- 4 Lessons learned.
- 5 Summary and Forecast.

**Discussion**

The author confirmed that the system described was implemented on rivers.

Responding to a question re how the interface radar specifications were developed, the author stated that international standards are best and were used where available. In the absence of international standards where in-house specifications were used, care should be taken to regulate intellectual property rights.

### **7.2 Presentation: Organisational and Cost Implications of CSSA for the German Administration**

The topic was presented by Dirk Eckhoff, Federal Waterways and Shipping Administration, Germany.

**Presentation abstract**

In year 2000 the German Waterways and Shipping Administration started to restructure their AtoN and VTS-systems along the German coast. The CSSA-like Maritime Traffic Technology System (MTTS) was developed. Since a holistic approach was also taken the organisational structure for technical development





and maintenance was changed in accordance with the new system architecture.

The presentation highlighted the organisational and cost implication for the German example during a decade of development and realisation.

**The key points of the presentation were:**

- 6 Previous organisational structure (local , heterogeneous).
- 7 New organisational structure (coastal-wide, homogeneous).
- 8 Measures to restructure at management and maintenance level.
- 9 Implication for the personnel.
- 10 Cost for the technical system.

**Discussion**

Addressing a query regarding whether functional or technical specifications were used in procurement, the author responded that functional specifications were generally used, except where there was certainty of requirements or special features.

**7.3 Presentation: Roles and functions of Technical Development and Technical Operations Personnel**

The presentation was made by Sascha Heesch, Central Engineering and Maintenance Office for Maritime Traffic Technology, Germany.



**Presentation abstract**

The implementation of a new system takes a large number of personnel in the Technical Development department. During evolution of the system there is a need to increase the number of Technical Operations Personnel. The presentation outlined which roles and functions are needed for Development and Operations.

**The key points of the presentation were:**

- 1 There is a need for a review of organisational structure when changing technical structures in an organisation.
- 2 There is a need for technical development and technical operations departments to collaborate closely in technical infrastructure projects.

## **8. Sessions 5 to 9 – Working Groups**

The Working Groups sessions were introduced by Jan-Hendrik Oltmann and co-ordinated by Jean-Charles Cornillou.

The workshop broke into two Working Groups, to progress the draft Recommendation:

- WG1 The generic service model Leader: Jan-Hendrik Oltmann  
WG2 The service operation and service life-cycle management Leader: Michele Fiorini

## **9. Session 10 – Reports of Working Groups**

Chaired by Jan-Hendrik Oltmann and Michele Fiorini.

### **9.1 Report of Working Group 1 - The generic service model**

The WG chair (Jan-Hendrik Oltmann) reported on progress by WG1 on sections of the draft Recommendation on CSSA generic service model.

1. A full review of the Main Body of the Recommendation was carried out, providing a general understanding of underlying Service Oriented Architecture. Editorial amendments to improve readability and to update content were agreed which will be implemented by Jan-Hendrik Oltmann and submitted to ENAV15.

#### *Action item*

*Jan-Hendrik Oltmann is requested to editorially revise the Main Body of the draft Recommendation on CSSA generic service model as agreed and submit the revised draft Recommendation to ENAV15.*

2. Appendix 5 “Interfacing Model” was revised with the introduction of UPnP aspects.
3. Appendix 7 “Reliability Model” was updated in terms of structure and content specification.

### **9.2 Report of Working Group 2 - The service operation and service life-cycle management**

The WG chair (Michele Fiorini) described the work which had been carried out by Working Group 2. The WG carried out brainstorming exercises on four input documents.

1. Text was drafted for Appendix 8, Test Model.
2. Appendix 11, Generic Functional Description of the Service Management, was amended.
3. Text was drafted for Appendix 13, Efficient Operation and Maintenance of a service.
4. Appendix 14, Runtime Configuration Management of a service, was amended

### **9.3 Plenary discussion**

In discussion, it was observed that a lot of work had been carried out in the preparation of a CSSA model. However many questions and needs for clarification still remain.

The CSSA model may help national, regional and local competent authorities to implement harmonised shore based e-Navigation architecture, although the circumstances in different countries or regions may vary responsibility / authority for provision of services, possibly limiting the full adoption of the model.

Finalisation of the CSSA Recommendation should be done in such a way that the ENAV Committee can deal with the whole CSSA methodology.

The machine – machine software interface is included in the model but was not discussed during the workshop.

Following expression of concern that some references quoted in the draft Recommendation are not approved documents, it was agreed that the whole Recommendation will be accepted by the ENAV Committee and approved by the IALA Council, thereby ensuring that the entire content is approved irrespective of its original source.

### **9.4 Workshop report**

Seamus Doyle noted that the workshop documents and photographs would be available on the workshop Dropbox for one month. The workshop report will be posted on the workshop Dropbox within one week and will be available long term on the IALA website.

#### *Action Item*

*The IALA Secretariat is requested to forward the output documents to the 15<sup>th</sup> Session of the IALA ENAV Committee.*



## **10. Closing of the workshop**

Seamus Doyle, IALA, thanked everyone for attending and working so hard. He said that the workshop was of great value to the IALA ENAV Committee and that he hoped that it had been professionally beneficial to all the delegates. He felt that significant progress had been made and the draft IALA Recommendation would be progressed at ENAV15. He thanked the members of the Federal Waterways and Shipping Administration for their excellent hosting of the event, the steering group and session chairs, IALA Secretariat and the delegates for making the workshop such a success.

He wished everyone a safe journey home and declared the workshop closed.

## ANNEX B TECHNICAL STUDY TOUR

On Thursday, a bus tour was made to the Kiel Canal Brunsbüttel site, including a visit to the locks assembly, to see a CSSA first hand and to provide insights into a practical working application of the CSSA. The Kiel Canal is an European motorway of the sea and was an excellent venue for witnessing a CSSA in action. The group visited the Central Control Centre for the German coastal-wide CSSA implementation, the associated Brunsbüttel server/communications node of the German CSSA implementation which is one of three such nodes in Germany providing double redundancy of all VTS and AtoN data and control, the VTS centre for the Kiel Canal, and the VTS centre for the Elbe river Hamburg approach. The visit was very informative and much appreciated by participants.



## **ANNEX C    SOCIAL EVENTS**

### **10.1    Welcome reception**

On Tuesday 26 August, delegates were welcomed at a reception at the Hotel Hafan Hamburg.

### **10.2    Workshop dinner**

A workshop dinner was held at the Blockbraeu, on Wednesday 27 August. The master of the local brewery at the Blockbraeu explained the brewing process and introduced participants to samples of the ingredients used in brewing. This was followed by an excellent dinner with a variety of typical German food. The event was a huge success.

## ANNEX D LIST OF DELEGATES

### Country

#### Australia

##### **Australian Maritime Systems**

Mr. Brian JOHNSON  
655 MacArthur Avenue  
Pinkenba  
Brisbane, Queensland 4008  
Australia

Phone +61 7 363 34100  
Fax +61 7 363 34198  
Mobile phone: +61 417 988 820  
e-mail (main): [btj@marsys.com.au](mailto:btj@marsys.com.au).

##### **OMC International**

Captain Jonathon PEARCE  
RH 7 Dorset House , 5 Church Street  
Wimborne  
Dorset, BH21 1JH  
UK

Phone +44 7833 517 006  
Fax  
Mobile phone: +44 7833 517 006  
e-mail (main): [jonp@omc-international.com](mailto:jonp@omc-international.com)  
e-mail (alternative): [jpearce@aleau.com](mailto:jpearce@aleau.com).

#### China (People's Republic of)

##### **China Maritime Safety Administration**

Mr. Liang ZHANG  
Jianguomennei Avenue 11  
Beijing 100736  
People's Republic of China

Phone +86 10 6529 9557  
Fax +86 10 6529 9561  
Mobile phone:  
e-mail (main): [ialachina@msa.gov.cn](mailto:ialachina@msa.gov.cn).

#### **Maritime Safety Administration of the P.R. of China**

Mr. Anjian HOU  
Jianguomennei Ave. 11  
Beijing 100736  
People's Republic of China

Phone +86 10 6529 9557  
Fax +86 10 6529 9561  
Mobile phone: +86 1860 261 6907  
e-mail (main): [haj07890051@sina.com](mailto:haj07890051@sina.com)  
e-mail (alternative): [ialachina@msa.gov.cn](mailto:ialachina@msa.gov.cn).

**Finland Finnish Transport Agency**

Ms. Kaisu HEIKONEN  
P. O. Box 33  
FIN-00521 Helsinki Finland  
Phone +358 29 534 3302  
Fax  
Mobile phone: +358 40 573 4949  
e-mail (main): [kaisu.heikonen@fta.fi](mailto:kaisu.heikonen@fta.fi)  
e-mail (alternative):

**France CEREMA- Center for Expertise & Engineering on Risks, Urban & Country  
Planning, Environment & Mobility**

Mr. Jean-Charles CORNILLLOU  
Technopôle Brest Iroise  
BP 5  
29280 Plouzané  
France  
Phone +33 2 98 05 67 41  
Fax +33 2 98 05 67 67  
Mobile phone:  
e-mail (main): [jean-charles.cornilllou@cerema.fr](mailto:jean-charles.cornilllou@cerema.fr)  
e-mail (alternative):

**Direction des affaires maritimes**

Mr. Hervé GUICHARD  
Grande Arche de la Défense  
Paroi Sud  
92055 La Défense Cedex  
France  
Phone +33 1 40 81 22 71  
Fax  
Mobile phone:  
e-mail (main): [hervé.guichard@developpement-durable.gouv.fr](mailto:hervé.guichard@developpement-durable.gouv.fr)  
e-mail (alternative):

**Germany Federal Waterway and Shipping Agency Germany**

Mr. Christian HERRLICH  
Wasser-und-Schiffahrtsamt Brunsbüttel  
Alte Zentrale 4  
25541 Brunsbüttel  
Germany  
Phone +49 4852 885 0  
Fax +49 4852 885 408  
Mobile phone: +49 160 9091 2436  
e-mail (main): [Christian.Herrlich@wsv.bund.de](mailto:Christian.Herrlich@wsv.bund.de)  
e-mail (alternative):

**Germany**

**Federal Waterways and Shipping Administration**

Mr. Sascha HEESCH

Germany

Phone

Fax

Mobile phone:

e-mail (main): Sascha. [Heesh@wsv.bund.de](mailto:Heesh@wsv.bund.de).

e-mail (alternative):

**Federal Waterways and Shipping Agency Germany**

Mr. Michael HOPPE

Traffic Technologies Centre

Am Berg 3

56070 Koblenz

Germany

Phone + 49 261 9819 2221

Fax + 49 261 9819 2155

Mobile phone:

e-mail (main): [michael.hoppe@wsv.bund.de](mailto:michael.hoppe@wsv.bund.de).

e-mail (alternative):

**Federal Waterways and Shipping Agency Germany**

Mr. Dirk ECKHOFF

Northern Region Office

Kiellinie 247

24106 Kiel

Germany

Phone + 49 431 3394 5702

Fax + 49 431 3394 6399

Mobile phone:

e-mail (main): [dirk.eckhoff@wsv.bund.de](mailto:dirk.eckhoff@wsv.bund.de).

e-mail (alternative):

**Germany**

**Federal Waterways and Shipping Agency Germany**

Mr. Jan-Hendrik OLTMANN

Generaldirektion Wasserstraßen und Schifffahrt Außenstelle Nord

Kiellinie 247

24106 Kiel

Germany

Phone + 49 431 3394 5701

Fax + 49 431 3394 6399

Mobile phone: +49 172 152 6928

e-mail (main): [jan-hendrik.oltmann@wsv.bund.de](mailto:jan-hendrik.oltmann@wsv.bund.de).

e-mail (alternative):

**German Aerospace Agency**

Dr. Stefan GEWIES  
Kalkhorstweg 53  
17235 Neustrelitz  
Germany  
Phone +49 398 1480 187  
Fax +49 398 1480 123  
Mobile phone:  
e-mail (main): [stefan.gewies@dlr.de](mailto:stefan.gewies@dlr.de).  
e-mail (alternative):

**Hochschule Wismar**

Mr. Tom DEHMEL  
Dept. of Maritime Studies  
R.-Wagnerstrasse 31  
D-18119 Warnemünde  
Germany  
Phone  
Fax  
Mobile phone:  
e-mail (main): [Tom.Dehmel@hs.wismar.de](mailto:Tom.Dehmel@hs.wismar.de).  
e-mail (alternative):

**Germany**

**OFFIS e.V.**

Dr. Andre BOLLES  
Ercherweg 2  
26121 Oldenburg Germany  
Phone +49 441 9722 206  
Fax  
Mobile phone:  
e-mail (main): [andre.bolles@offis.de](mailto:andre.bolles@offis.de).  
e-mail (alternative):

**Schnoor Industrieelektronik GmbH & Co KG**

Mr. Ralf OPPERMANN  
Fehmarnstr 6  
24782 Budelsdorf Germany  
Phone +49 4331 34760  
Fax +49 4331 347620  
Mobile phone: +49 151 163557 14  
e-mail (main): [info@schnoor-ins.com](mailto:info@schnoor-ins.com).  
e-mail (alternative):

## Signalis

Mr. Mathieu AILLERIE  
9 rue Louis Rameau  
BP 70101  
95873 Bezons cedex  
France

Phone +33 1 39 96 47 33  
Fax +33 1 39 96 44 40  
Mobile phone: +33 6 74 34 88 60  
e-mail (main): [Mathieu.Aillerie@signalis.com](mailto:Mathieu.Aillerie@signalis.com).  
e-mail (alternative): [matthieu.aillerie@gmail.com](mailto:matthieu.aillerie@gmail.com).

## IALA

### IALA Committee Secretary

Mr. Seamus DOYLE  
10, rue des Gaudines  
78100 Saint-Germain-en-Laye

France  
Phone +33 1 3451 7001  
Fax +33 1 34 51 82 05  
Mobile phone: 353 87 98 77983  
e-mail (main): [seamus.doyle@iala-aism.org](mailto:seamus.doyle@iala-aism.org).  
e-mail (alternative):

### IALA Secretary-General

Mr. Gary PROSSER  
10 rue des Gaudines  
78100 Saint-Germain-en-Laye

France  
Phone +33 1 34 51 70 01  
Fax +33 1 34 51 82 05  
Mobile phone:  
e-mail (main): [gary.prosser@iala-aism.org](mailto:gary.prosser@iala-aism.org).  
e-mail (alternative):

## IMPA

### Bundeslotsenkammer

Captain Michael HARTMANN  
Theodorestr. 42-90 (Haus 1a)  
22761 Hamburg  
Germany

Phone +49 151 1530 9820  
Fax +49 4852 3059  
Mobile phone: +49 151 15309820  
e-mail (main): [hartmann@pilotservices.de](mailto:hartmann@pilotservices.de)  
e-mail (alternative): [office@impahq.org](mailto:office@impahq.org)



**Italy**

**Selex ES - a Finmeccanica Company**

Mr. Michele FIORINI  
Via Tiburtina, km 12.400  
00131 Roma Italy  
Phone +39 06 4150 4505  
Fax +39 06 4150 3728  
Mobile phone:  
e-mail (main): [michele.fiorini@selex-es.com](mailto:michele.fiorini@selex-es.com).  
e-mail (alternative): [fiorini.work@gmail.com](mailto:fiorini.work@gmail.com).

**Korea  
(Republic of)**

**Korea Research Institute Ships & Ocean Engineering (KRISO)**

Dr. Deuk Jae CHO  
32 Yuseong-daero ,1312 beon-gil  
Yuseong-gu, Daejeon 305-343  
Republic of Korea  
Phone +82 42 866 3683  
Fax +82 10 8808 0928  
Mobile phone: +82 42 866 3689  
e-mail (main): [djcho@kriso.re.kr](mailto:djcho@kriso.re.kr).  
e-mail (alternative):

**Malaysia**

**Light Dues Board, Marine Department Malaysia**

Mr. Mohamad HALIM AHMED  
Jalan Limbungan  
42000 Port Klang  
Selangor Darul Ehsan  
Malaysia  
Phone +603 33 46 77 77  
Fax +603 31 68 50 20  
Mobile phone: +6012 49 10 778  
e-mail (main): [halim@marine.gov.my](mailto:halim@marine.gov.my).  
e-mail (alternative):

**Netherlands Netherlands Coastguard**

Mr. Jan HAVERKORN  
Rijkszee-en Marinehaven 1  
1871ZZ Den Helder  
Netherlands  
Phone +31 223 658 312  
Fax  
Mobile phone:  
e-mail (main): [Eddy.Wisse@Kustwatch.nl](mailto:Eddy.Wisse@Kustwatch.nl).  
e-mail (alternative):

**Netherlands Coastguard**

Mr. Eddy WISSE  
Rijkszee-en Marinehaven 1  
1871ZZ Den Helder

Netherlands

Phone +31 223 658 317  
Fax  
Mobile phone:  
e-mail (main): [ian.havenkorn@kustwatch.nl](mailto:ian.havenkorn@kustwatch.nl).  
e-mail (alternative):

**Netherlands Ministry of Infrastructure and the Environment**

Mr. Pieter PAAP  
P O Box 5044  
2600 HA Delft Netherlands  
Phone +31 88 798 22 22  
Fax  
Mobile phone: +31 6 466 36 190  
e-mail (main): [pieter.paap@rws.nl](mailto:pieter.paap@rws.nl).  
e-mail (alternative): [pieter.l.paap@quicknet.nl](mailto:pieter.l.paap@quicknet.nl).

**Nigeria**

**Nigerian Maritime Administration and Safety Agency**

Mr. Leonard EKECHI  
4 Burma Road  
Apapa  
Lagos 111  
Nigeria  
Phone +2348035672639  
Fax  
Mobile phone:  
e-mail (main): [leoeketchi@yahoo.com](mailto:leoeketchi@yahoo.com).  
e-mail (alternative):

**Norway**

**Jeppesen GmbH**

Mr. Michael BERGMANN  
Frankfurter Str. 2a  
63263 Neu-Isenburg  
Germany  
Phone +49 6102 507580  
Fax +49 6102 507581  
Mobile phone: +49 172 753 6379  
e-mail (main): [michael.bergmann@jeppesen.com](mailto:michael.bergmann@jeppesen.com).  
e-mail (alternative):

**Norway**

**Kongsberg Seatex**

Mr. Tony HAUGEN  
Pirsenteret  
7462 Trondheim Norway  
Phone +47 73545581  
Fax +47 73515020  
Mobile phone: +47 90078741  
e-mail (main): [tony.haugen@kongsberg.com](mailto:tony.haugen@kongsberg.com).  
e-mail (alternative):

**Norwegian Coastal Administration**

Mr. Richard AASE  
PO Box 1502  
6025 Alesund Norway  
Phone +47 52733315  
Fax +47 527 33201  
Mobile phone: +47 91866969  
e-mail (main): [richard.aase@kystverket.no](mailto:richard.aase@kystverket.no).  
e-mail (alternative):

**Norway**

**VisSim**

Mr. Alexander LEGKIKH  
Vollveien 5,  
N-3183 Horten Norway  
Phone +47 33 07 1890  
Fax +47 33 07 18 99  
Mobile phone: +47 97 42 78 11  
e-mail (main): [AL@vissim.no](mailto:AL@vissim.no).  
e-mail (alternative):

**Poland**

**Sprint S.A.**

Mr. Krzysztof MELDALK  
Ul. Budowlanych 64E  
PL80-298  
Gdansk  
Poland  
Phone +46 668 48 54 47  
Fax  
Mobile phone:  
e-mail (main): [krzysztof.mendalka@sprint.pl](mailto:krzysztof.mendalka@sprint.pl).  
e-mail (alternative): [mendalka@gmail.com](mailto:mendalka@gmail.com).

:

**Republic of Korea    Korea Maritime & Ocean University**

Dr. Seojeong LEE  
727 Dongsan-dong  
Youngdo-gu  
Busan 606-791  
Republic of Korea  
Phone +82 51 410 4578  
Fax +82 51 404 3986  
Mobile phone: +82 11 217 4190  
e-mail (main): [sjlee@kmou.ac.kr](mailto:sjlee@kmou.ac.kr)  
e-mail (alternative):

**Republic of Korea    Dong Seo University**

Prof. Dr. Suhyun PARK  
47, Jurye-ro  
Sasang-gu  
Busan 617-716  
Republic of Korea  
Phone +82 51 320 1723  
Fax +82 51 327 8955  
Mobile phone: +82 10 9395 8326  
e-mail (main): [subak@dongseo.ac.kr](mailto:subak@dongseo.ac.kr)  
e-mail (alternative): [subak63@gmail.com](mailto:subak63@gmail.com).

**ETRI**

Mr. Song MOONSUB  
218 Gajeong-ro, Yuseung Gu  
Daejeon 305-700

Republic of Korea  
Phone +82 42 860 5025  
Fax +82 42 860 1085  
Mobile phone: +82 10 4455 0533  
e-mail (main): [leeki@etri.re.kr](mailto:leeki@etri.re.kr)  
e-mail (alternative):

**Republic of Korea**

**GMT Co Ltd**                      Mr. Yongwon KIM  
7th Fl, Pangyo W-City, 9-22,  
Pangyo-ro 255 Beon-gil, Bundang-gu  
Seongnam-si, Gyeonggi-do 463-400  
Republic of Korea  
Phone +82 2 488 6501  
Fax +82 2 488 6505  
Mobile phone: +82 10 3039 3464  
e-mail (main): [ywkim@gmtc.kr](mailto:ywkim@gmtc.kr)  
e-mail (alternative):

**Korea Maritime University**

Prof. Yung-Ho YU  
#1, Dongsam-dong  
Yeongdo-gu  
Busan 606-791  
Republic of Korea  
Phone +82 51 410 4345  
Fax  
Mobile phone: +82 10 4026 4345  
e-mail (main): [yungyu@kmou.ac.kr](mailto:yungyu@kmou.ac.kr)  
e-mail (alternative):

**Republic of Korea Mokpo National Maritime University**

Dr. Prof. Geonung KIM  
91, Haeyangdaehak-ro  
Mokpo-si, Jeollanam-do  
530-729  
Republic of Korea  
Phone +82 61 240 7261  
Fax +82 61 240 7255  
Mobile phone: +82 10 8551 1909  
e-mail (main): [kgu@mmu.ac.kr](mailto:kgu@mmu.ac.kr)  
e-mail (alternative):

**Shindong Digitech**

Mr. Inhawn PARK  
14, Miemsandan 3-Ro  
Gangseo-Gu  
Busan 618 220  
Republic of Korea  
Phone +82 51 4615210  
Fax +82 51 417 5004  
Mobile phone: +82 10 4425 7811  
e-mail (main): [ocean@shindong.com](mailto:ocean@shindong.com)  
e-mail (alternative):

**Russia Transas Technologies**

Mr. Dmitry ROSTOPSHIN  
Maly pr. V.O., 54-4  
St. Petersburg  
199178  
Russia  
Phone +7 812 325 3131  
Fax +7 812 325 3132  
Mobile phone: +7 911 297 7777  
e-mail (main): [Dmitry.Rostopshin@transas.com](mailto:Dmitry.Rostopshin@transas.com)  
e-mail (alternative):

**Scotland**      **Northern Lighthouse Board**  
Mr. Moray WADDELL  
84 George Street  
Edinburgh EH2 3DA      Scotland  
Phone      + 44 131 473 3100  
Fax      + 44 131 220 2093  
Mobile phone:      +44 7768 177472  
e-mail (main):      [morayw@nlb.org.uk](mailto:morayw@nlb.org.uk).  
e-mail (alternative):

**Sweden**      **Swedish Maritime Administration**  
Mr. Fredrik KARLSSON  
Coordinator Research and Innovation  
Innovation and Development  
Lindholmospiren 5  
Sweden  
Phone      +46 10 47 84 632  
Fax  
Mobile phone:  
e-mail (main):      [fredrik.karlsson@sjofartsverket.se](mailto:fredrik.karlsson@sjofartsverket.se).  
e-mail (alternative):

**Swedish Maritime Administration**  
Mr. Ulf SVEDBERG  
Master Mariner  
Research and Innovation  
af Pontins väg 4  
Sweden  
Phone      +46 10 478 4897  
Fax      +46 8 66 66 635  
Mobile phone:      +46 708 66 66 62  
e-mail (main):      [ulf.svedberg@sjofartsverket.se](mailto:ulf.svedberg@sjofartsverket.se).  
e-mail (alternative):

**Saudi Arabia**      **Saudi Arabia Ports Authorities**  
Capt Fahad Albatti  
VTMIS Director( Dammamport)  
  
Saudi Arabia  
Phone      +966 138583663  
Fax      +966 138583588  
Mobile phone:      +966 505840825  
e-mail (main):      [f.albatti@ports.gov.sa](mailto:f.albatti@ports.gov.sa).  
e-mail (alternative):

**USA**

**US Coast Guard**

Mr. Robert Mc Dermott  
System Engineer  
4000 Coast Guard Blvd,  
Portsmouth, VA 23703  
USA

Phone +1 757 080 0790  
Fax  
Mobile phone: +1 540 080 0209  
e-mail (main): [Robert.j.mcdermott1@uscg.mil](mailto:Robert.j.mcdermott1@uscg.mil).

**Five Rivers Services**

Mr. Paul SMITH  
6950 Harbour View Blvd  
Suite C  
Suffolk 23435  
USA

Phone +1 757 295 2032  
Fax  
Mobile phone: +1 757 478 3259  
e-mail (main): [paul.a.smith3@uscg.mil](mailto:paul.a.smith3@uscg.mil).  
e-mail (alternative): [paul.smith@fiveriversservices.com](mailto:paul.smith@fiveriversservices.com)

**Marine Management Consulting**

Mr. Fred W. POT  
6716, 47th Place SW  
Seattle, wa 98136 USA

Phone  
Fax  
Mobile phone: +1 206 850 7664  
e-mail (main): [fpot@enavsolutions.org](mailto:fpot@enavsolutions.org).  
e-mail (alternative):

**US Coast Guard**

Mr. Robert McMcDERMOTT  
4000 Coast Guard Blvd  
Portsmouth, Virginia, 23703 USA

Phone +1757 686 6790  
Fax +1 757 686 4018  
Mobile phone: +1 540 686 0269  
e-mail (main): robert.j.mcdermott1@uscg.mil  
e-mail (alternative):

## ANNEX E WORKING GROUP PARTICIPANTS

### Working Group 1 The generic service model

	Name	Organisation / Country
1	Jan-Hendrik Oltmann (Chair)	WSV
2	Pieter Paap	Netherlands Administration, Netherlands
3	Eddy Wisse	Netherlands Coastguard, Netherlands
4	Jean-Charles Cornillou	CEREMA, France
5	Mathieu Aillerie	Signalis, France
6	Michael Hoppe	WSV
7	Dirk Eckhoff	WSV
8	Krzysztof Meldalka	Sprint SA, Poland
9	Moray Waddell	Northern Lighthouse Board, Scotland
10	Andre Bolles	Offis
11	Robert McDermott	USCG, USA
12	Fred Pot	Marine Management Consulting , USA
13	Mhamad Halim Ahmed	Light Dues Board, Marine Department, Malaysia
14	Alexander Legkikh	Vissim AS, Norway
15	Younwon Kim	GMT, South Korea
16	Geonung Kim	Korea Maritime University, South Korea
17	Jan Haverkovn	Netherland Coastguard, Netherlands
18	Herve Guichard	French sub-Directorate for Maritime Safety, France
19	Deuk Jae Cho	Korea Research Institute Ships & Ocean Engineering, South Korea
20	Kwangil Lee	ETRI, Republic of Korea
21	Suhyun Park	Dongsea University, Korea
22	Anjian Hou	Maritime Safety Administration, China
23	Stefan Gweies	DLR, Germany



## Working Group 2 The service operation and service life-cycle management

	Name	Organisation / Country
1	Michele Fiorini (Chair)	Selex ES, Italy
2	Dmitry Rostopshin	Transas Technologies, Russia
3	Sascha Heesch	WSV, Germany
4	Christian Herrlich	WSV, Germany
5	Jafaar Hasan	MENAS, Bahrain
6	Makdi Al Mosawi	MENAS, Bahrain
7	Paul Smith	Five Rivers Services, USA
8	Ralf Oppermann	Schnoor Industrieelektronik, Germany
9	Brian Johnson	Australian Maritime Services, Australia
10	Lzang Zhang	Maritime Safety Administration, China
11	Ulf Svedberg	Swedish Maritime Administration, Sweden
12	Fredrik Karlsson	Swedish Maritime Administration, Sweden
13	Leonard Ekechi	Nigeria
14	Inhwan Park	Shindong Digitech, Korea
15	Seojeong Lee	Korea Maritime and Ocean University, Korea
16	Yung-Ho Yu	Korea Maritime and Ocean University, Korea

**ANNEX F      WORKSHOP PROGRAMME****DAY 1 – Tuesday, 26 August 2014****1200 – 1300 Registration**

<b>Time</b>	<b>Activity</b>	
<b>1300 - 1415</b>	<b>Session 1 – Opening of the Workshop</b>	<b>Chair: Jan-Hendrik Oltmann</b>
1300-1310	Welcome from IALA	Gary Prosser
1310-1315	Administration & Safety Briefing	Jan-Hendrik Oltmann
1315-1330	Workshop's aim & objectives	Jan-Hendrik Oltmann
1330-1350	Introduction to IMO e-Navigation and modernisation of GMDSS	Jean-Charles Cornillou
1350-1415	Introduction to input documents (pre-reading) and present status of CSSA	Jan-Hendrik Oltmann
<b>1415 - 1445</b>	<b>Break</b>	
<b>1445 - 1630</b>	<b>Session 2 – The CSSA in its Context (User Interactions and relationship to other “e-Navigation pillars” )</b>	<b>Chair: Jean Charles Cornillou</b>
1445 – 1505	Harmonization of shore-based User Requirements	Michele Fiorini
1505 - 1525	Shore-based (Unified) Operational Presentation Surface	Tom Dehmel
1525 – 1545	Relationship of CSSA with other “e-Navigation pillars” (MSPs, CMDs, PNT, Communications etc) and S-100 based “products”.	Yung-Ho Yu
1545 – 1605	The CSSA System Layout – introduction to the IALA Recommendation	Jan-Hendrik Oltmann
1605 – 1630	Discussion	Jean Charles Cornillou
<b>1630 - 1700</b>	<b>Break</b>	
<b>1700 - 1800</b>	<b>Session 3 – The generic CSSA Service Model</b>	<b>Chair: Michele Fiorini</b>
1700 – 1720	Introduction to the generic CSSA Service Model with special reference to IALA AIS Service (A-124)	Jan-Hendrik Oltmann
1720 – 1740	From model to procurement: necessary steps and relevant precautions	Yves Desnoes (Presenter Jean-Charles Cornillou)
1740 – 1800	Implementation Options: What UPnP (Universal Plug and Play) can contribute	Fred Pot

**1800 – 1930****Welcome reception. Venue: Hotel Hafen Hamburg****Dress code: Business attire / Casual**

## DAY 2 – Wednesday, 27 August 2014

<b>Time</b>	<b>Activity</b>	
<b>0900 - 1000</b>	<b>Session 4 - CSSA Service Model in Action; the German example (including lessons learned)</b>	<b>Chair: Jan-Hendrik Oltmann</b>
0900 – 0920	Deploying a coastal-wide, multi-node, multi-service CSSA	Christian Herrlich
0920 – 0940	Organisational and Cost Implications of CSSA for the Administration	Dirk Eckhoff
0940 – 1000	Roles and functions of Technical Development and Technical Operations Personnel	Sascha Heesch
1000 – 1020	Introduction to the Working Groups	Jan-Hendrik Oltmann / Michele Fiorini
<b>1020 - 1050</b>	<b>Break</b>	
<b>1050 - 1230</b>	<b>Session 5 - Working Groups (WG)</b>	<b>Co-ordinator: Jean-Charles Cornillou</b>
1050 – 1230	WG1- The generic service model	Leader: Jan-Hendrik Oltmann
1050 – 1230	WG2- The service operation and service life-cycle management	Leader: Michele Fiorini
<b>1230 - 1330</b>	<b>Lunch and Group Photograph</b>	
<b>1330 - 1530</b>	<b>Session 6 – Working Groups (WG) Continued</b>	<b>Co-ordinator: Jean-Charles Cornillou</b>
1330 - 1530	WG1- The generic service model	Leader: Jan-Hendrik Oltmann
1330 - 1530	WG2- The service operation and service life-cycle management	Leader: Michele Fiorini
<b>1530 - 1600</b>	<b>Break</b>	
<b>1600 - 1730</b>	<b>Session 7 – Working Groups (WG) continued</b>	<b>Co-ordinator: Jean-Charles Cornillou</b>
1600 - 1730	WG1- The generic service model	Leader: Jan-Hendrik Oltmann
1600 - 1730	WG2- The service operation and service life-cycle management	Leader: Michele Fiorini
<b>1730 – 1800</b>	<b>Meeting of Session Chairs</b>	<b>Day Session Chairs, Jan-Hendrik Oltmann, Seamus Doyle</b>

**1900 – 2200, Workshop dinner, Venue Blockbraeu**

**Dress code Casual**

## DAY 3 – Thursday, 28 August 2014

<u>Time</u>	<u>Activity</u>	
<b>0800 - 1500</b>	<b>Technical Study Tour</b> Tour to Kiel Canal Brunsbüttel site (including visit to the locks assembly, Central Control Centre for the German coastal-wide CSSA implementation, VTS centre(s) for Kiel Canal and/or for Elbe river Hamburg approach, Brunsbüttel node of the German CSSA implementation) to provide insights into a practical working application of the CSSA.	
<b>1500 – 1530</b>	<b>Break</b>	
<b>1530 - 1730</b>	<b>Session 8 – Working Groups (WG) continued</b>	<b>Co-ordinator: Jean-Charles Cornillou</b>
1600 - 1730	WG1- The generic service model	Leader: Jan-Hendrik Oltmann
1600 - 1730	WG2- The service operation and service life-cycle management	Leader: Michele Fiorini
<b>1730-1800</b>	<b>Meeting of WG chairs</b>	<b>WG chairs, Jean-Charles Cornillou, Seamus Doyle</b>

Free evening

## DAY 4 – Friday, 29 August 2014

<u>Time</u>	<u>Activity</u>	
<b>0900 - 1030</b>	<b>Session 9 – Working Groups Continued</b>	
0900 - 1030	WG1- The generic service model	Leader: Jan-Hendrik Oltmann
0900 - 1030	WG2- The service operation and service life-cycle management	Leader: Michele Fiorini
<b>1030 - 1100</b>	<b>Break</b>	
<b>1100 - 1300</b>	<b>Session 10 – Plenary – Conclusions &amp; Closing</b>	<b>Chair: Jan-Hendrik Oltmann / Michele Fiorini</b>
1100 – 1120	WG1- Review of output and future work	Respective WG-Leader Jan-Hendrik Oltmann
1120 – 1140	WG2- Review of output and future work	Respective WG-Leader Michele Fiorini
1140 – 1200	Plenary Discussion on WG findings: Synthesis	Jan-Hendrik Oltmann
1200 – 1220	Conclusions from Workshop	Seamus Doyle
1220 – 1240	Review of Workshop Report	Seamus Doyle
1240 – 1300	Closing of the workshop	Seamus Doyle

## ANNEX H WORKSHOP INPUT PAPERS

Together with the presentations made during sessions 2 – 4, the following papers were input to the workshop:

Meeting	Agenda Item	Previous reference	Title / Author (if required)	Presented by / WG
CSSA1-	1		ENAV CSSA WS 2014-08 Papers' list rev (Rev 3)	All
CSSA1-	2.1		Technical Programme (final version)	Jan-Hendrik Oltmann Seamus Doyle
CSSA1-	2.2	e-NAV14-12.2.10 + e-NAV14-12.2.6	Main Draft IALA Recommendation On Generic Service Engineering Model V0-07 20140813 - <b>Main Part</b>	
CSSA1-	2.3	e-NAV14-12.2.10 + e-NAV14-12.2.7	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 0 = References, Glossary of Terms, Abbreviations</b>	
CSSA1-	2.4	e-NAV14-12.2.10 + e-NAV14-12.2.7	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 1 = Basic Service Categories definitions and Minimum Set of Required Basic Services</b>	
CSSA1-	2.5	e-NAV14-12.2.10 + e-NAV14-12.2.7	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 2 = Data objects of a service and their properties (data model)</b>	
CSSA1-	2.6	e-NAV14-12.2.10 + e-NAV14-12.2.7	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 3 = Distribution model</b>	
CSSA1-	2.7	e-NAV14-12.2.10 + e-NAV14-12.2.8	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 4 = Interaction and data storage model</b>	
CSSA1-	2.8	e-NAV14-12.2.10 + e-NAV14-12.2.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 5 = Interfacing model</b>	
CSSA1-	2.9	e-NAV14-12.2.10 + e-NAV14-12.2.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 6 = Internal Time Latency model</b>	
CSSA1-	2.10	e-NAV14-12.2.10 + e-NAV14-12.2.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 7 = Internal Reliability model</b>	
CSSA1-	2.11	e-NAV14-12.2.10 + e-NAV14-12.2.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 8 = Test model</b>	
CSSA1-	2.12	e-NAV14-12.2.10 + e-NAV14-12.2.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 9 = Generic Functional description of the Logical Shore Station</b>	
CSSA1-	2.13	e-NAV14-12.2.10 + e-NAV14-12.2.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 10.1 = Generic Functional description of the Physical Layer as a whole</b>	
CSSA1-	2.14	e-NAV14-12.2.10 + e-NAV14-12.2.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 10.2 = Generic Functional description of the Physical Shore Station</b>	

Meeting	Agenda Item	Previous reference	Title / Author (if required)	Presented by / WG
CSSA1-	2.15	e-NAV14-12.2.10 + e-NAV14-12.2.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 10.3 = Generic Functional description of the Physical Link Terminal Equipment</b>	
CSSA1-	2.16	e-NAV14-12.2.10 + e-NAV14-12.2.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 10.4 = Generic Functional description of the Physical Link Couplers</b>	
CSSA1-	2.17	e-NAV14-12.2.10 + e-NAV14-12.2.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 11 = Generic Functional description of the Service Management</b>	
CSSA1-	2.18	e-NAV14-12.2.10 + e-NAV14-12.2.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 12 = Site Co-Location Issues and On-Site Infrastructure Considerations</b>	
CSSA1-	2.19	e-NAV14-12.2.10 + e-NAV14-12.2.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 13 = Recommendation regarding efficient operation and maintenance of a service</b>	
CSSA1-	2.20	e-NAV14-12.2.10	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 14 = Runtime configuration management of a service</b>	
Supplementary documents provided before the Workshop				
CSSA1-	3.1	e-NAV14-17.1.5.2	Draft Revision Of Rec e-NAV-140 on e-Navigation Architecture-Shore Perspective(Ed2)a	
CSSA1-	3.2	e-NAV14-17.1.5.1	IALA Recommendation on the IALA Common Shore-based System Architecture (CSSA) 20130925d, EditoriallyFixed2	
CSSA1-	3.3	e-NAV14-17.2.5.1	Relationship Between e-Navigation Technical Architecture Documents	
CSSA1-	3.4	e-NAV14-12.2.10	Report of Dublin meeting of e-NAV Committee WG3 & WG5 -Output1 (Report)	
CSSA1-	3.5		Workgroup-Coordination & assignment V4	Jan-Hendrik Oltmann
Session papers				
CSSA1-	4.1.1		Intro to IMO e-Navigation & GMDSS modernisation - V4	Jean-Charles Cornillou
CSSA1-	4.2.1		Relationship e-Nav Pillars and S100 Products	Yung-Ho Yu
CSSA1-	4.3.1		From model to procurement - necessary steps and relevant precautions	Yves Desnotes

## ANNEX I WORKSHOP OUTPUT DOCUMENTS

Number	Title
CSSA1- 5.1	Report of the IALA Workshop on Employing the e-Navigation Common Shore-Based System Architecture (CSSA)
CSSA1- 5.2	Draft IALA Recommendation on Generic Service Engineering Model – <b>Main Part</b>
CSSA1- 5.3	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 0 = References, Glossary of Terms, Abbreviations</b>
CSSA1- 5.4	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 1 = Basic Service Categories definitions and Minimum Set of Required Basic Services</b>
CSSA1- 5.5	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 2 = Data objects of a service and their properties (data model)</b>
CSSA1- 5.6	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 3 = Distribution model</b>
CSSA1- 5.7	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 4 = Interaction and data storage model</b>
CSSA1- 5.8	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 5 = Interfacing model</b>
CSSA1- 5.9	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 6 = Internal Time Latency model</b>
CSSA1- 5.10	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 7 = Internal Reliability model</b>
CSSA1- 5.11	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 8 = Test model</b>
CSSA1- 5.12	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 9 = Generic Functional description of the Logical Shore Station</b>
CSSA1- 5.13	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 10.1 = Generic Functional description of the Physical Layer as a whole</b>
CSSA1- 5.14	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 10.2 = Generic Functional description of the Physical Shore Station</b>
CSSA1- 5.15	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 10.3 = Generic Functional description of the Physical Link Terminal Equipment</b>
CSSA1- 5.16	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 10.4 = Generic Functional description of the Physical Link Couplers</b>

Number	Title
CSSA1- 5.17	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 11 = Generic Functional description of the Service Management</b>
CSSA1- 5.18	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 12 = Site Co-Location Issues and On-Site Infrastructure Considerations</b>
CSSA1- 5.19	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 13 = Recommendation regarding efficient operation and maintenance of a service</b>
CSSA1- 5.20	Draft IALA Recommendation on Generic Service Engineering Model - <b>Appendix 14 = Runtime configuration management of a service</b>



## **ANNEX J     ACTIONS ARISING FROM THE WORKSHOP**

### *Actions for the Secretariat*

1. The IALA Secretariat is requested to forward the output documents to the 15<sup>th</sup> Session of the IALA ENAV Committee. 16

### *Actions for Delegates*

2. Jan-Hendrik Oltmann is requested to editorially revise the Main Body of the draft Recommendation on CSSA generic service model as agreed and submit the revised draft Recommendation to ENAV15. 16